

13. FORM FDA 2359p-NCIMS ASEPTIC PROCESSING AND PACKAGING PROGRAM AND/OR RETORT PROCESSED AFTER PACKAGING PROGRAM CRITICAL LISTING ELEMENTS (Low-Acid (pH greater than 4.6) Aseptic and Retort Milk

Department of Health and Human Services Food and Drug Administration	and/or Milk Products) NCIMS ASEPTIC PROCESSING AND PACKAGING PROGRAM AND/OR RETORT PROCESSED AFTER PACKAGING PROGRAM CRITICAL LISTING ELEMENTS (Low-Acid (pH greater than 4.6) Aseptic and Retort Milk and/or Milk Products)
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(To be included with all NCIMS Aseptic Processing and Packaging Program and Retort Processed after Packaging Program Ratings/HACCP Listings and FDA Check Ratings/HACCP Audits.)

MILK PLANT	DATE OF RATING
ADDRESS	LICENSE/PERMIT NO.
RATING AGENCY	

**EXPLANATION OF CONCERNS NOTED REGARDING CRITICAL LISTING ELEMENTS
 UNDER THE NCIMS ASEPTIC PROCESSING AND PACKAGING PROGRAM
 AND RETORT PROCESSED AFTER PACKAGING PROGRAM**
(Use additional sheets as necessary.)

A narrative description shall be provided as a part of all NCIMS Aseptic Processing and Packaging Program and Retort Processed after Packaging Program Ratings/HACCP Listings and FDA Check Ratings/HACCP Audits. This report shall include an evaluation of the following requirements:

1.	Is the milk plant registered with FDA LACF and are all of the milk plant's low-acid aseptic and/or retort processed after packaging Grade "A" milk and/or milk products covered by a filing with the FDA LACF using Form FDA 2541c, or Form FDA 2341a, respectively, or equivalent electronic filing?
2.	Are the milk plant's filed scheduled processes for all of its low-acid aseptic and/or retort processed after packaging Grade "A" milk and/or milk products developed by a recognized Process Authority qualified as having expert knowledge of thermal processing requirements?
3.	Are the operators of the milk plant's aseptic processing and packaging systems and/or retort processed after packaging systems under the supervision of a person who has attended a school approved by the FDA (such as Better Process Control School or recognized equivalent)?
4.	Is the milk plant currently under an "Order of Determination of Need" for an Emergency Permit?

14. FORM FDA 2359e-STATUS OF MANUFACTURING PLANTS (Single-Service Containers and/or Closures for Milk and/or Milk Products)

Food and Drug Administration

STATUS OF MANUFACTURING PLANTS

(SINGLE-SERVICE CONTAINERS AND/OR CLOSURES FOR MILK AND/OR MILK PRODUCTS)

Plant _____

Date of Certification _____

Sanitation Compliance Rating¹ _____

NAME OF PLANT	ITEMS OF SANITATION																				REMARKS							
	Floors	Walls and Ceilings	Doors and Windows	Lighting and Ventilation	Separate Rooms	Toilet/Facilities / Sewage Disposal	Water Supply	Handwashing Facilities	Plant Cleanliness	Lockers and Lunchrooms	Disposal of Wastes	Personnel / Practices	Protection From Contamination	Storage of Materials and Finished Product	Fabrication Equipment	Materials for Construction of Containers and/or Closures	Waxes, Adhesives, Sealants, Coating and Inks	Handling of Containers, Closures and Equipment	Wrapping and Shipping	Identification and Records		Surroundings	Bacterial Count*	Coliform Count*	Total Debits ²			
ITEM	1	2	3	4	5	6	7	8	9	10	11	12	13 a,b,c, f,g,i,k	13 d,e, h, j	14	15	16 a	16 b,c	17 a,b d,e	17 c	18	19	20 a,b,f	20 c,d,e	21			
WEIGHT	1	1	2	2	3	3	4	2	3	2	2	3	3	11	3	5	11	3	3	11	2	4	3	11	2	5	10	
TOTALS																												

Footnotes: ¹ Sanitation Compliance Rating = 100 – Total Debits ² Total Debits for each manufacturing plant are the sum of the weights of the Items violated. (**NOTE:** Any Item or sub-item violated, indicate by placing the debit value (weight) of that Item or an "X" under that Item.) * Use only when not in compliance.

15. FORM FDA 2359d-REPORT OF CERTIFICATION (*Fabrication of Single-Service Containers and/or Closures for Milk and/or Milk Products*)

DEPARTMENT OF HEALTH AND HUMAN SERVICES FOOD AND DRUG ADMINISTRATION		REPORT OF CERTIFICATION (Fabrication of Single-Service Containers and/or Closures for Milk and/or Milk Products)				FOR FDA USE ONLY																					
						1	2	3	4	5																	
IDENTIFICATION																											
1. NAME OF SINGLE-SERVICE FABRICATING PLANT				2. CITY			3. STATE/COUNTRY																				
4. STREET					5. MFG. CODE NO			6. CODE																			
								PRODUCT CODE		MATERIAL CODE																	
7. AGENCY OR SSC, AS APPLICABLE, PROVIDING ROUTINE INSPECTION					56	57	58	59	60	61	62																
7.a. RATING/ CERTIFICATION PERSONNEL <input type="checkbox"/> SHD <input type="checkbox"/> Other <input type="checkbox"/> SDA <input type="checkbox"/> TPC <input type="checkbox"/> SDL <input type="checkbox"/> SSC		7.b. DATE OF PLANT CERTIFICATION		7.d. EXPIRATION DATE*			PRODUCT CODE (60)			MATERIAL CODE (62)																	
							1. Containers 2. Closures 3. Other products 4. Containers and closures 5. Containers and other products 6. Closures and other products 7. Containers, closures and other products			1. Metal 2. Paper (Includes laminates) 3. Plastic 4. Metal and paper 5. Metal and plastic 6. Paper and plastic 7. Metal, paper and plastic 8. Glass 9. Rubber 10. Paper, metal, plastic and glass 11. Ceramic																	
				MONTH DAY YEAR																							
		7.c. SANITATION COMPLIANCE RATING		67	68	69	70	72	72																		
							20																				
*EXPIRATION DATE Certification of single-service manufacturing plants may be valid for a period not to exceed one (1) or two (2) years from the earliest certification date. The expiration date is one (1) or two (2) years from the earliest certification date. NOTE: Certifications conducted by SSCs shall only be valid for a period not to exceed one (1) year from the earliest certification date.					8. SRO OR SSC			9. CERTIFICATION RECOMMENDED		9a. LISTING TYPE																	
								<input type="checkbox"/> YES <input type="checkbox"/> NO		<input type="checkbox"/> FULL <input type="checkbox"/> PARTIAL																	
LABORATORY CONTROL																											
10. NAME AND ADDRESS (OR CODE) OF APPROVED LABORATORY																											
11. INSPECTION RESULTS (Place an "X" under Items debited)																											
1	2	3	4	5	6	7	8	9	10	11	12	13 a,b,c,f g,i,k	13 d,e, h,j	14	15	16 a	16 b,c	17 a,b, d,e	17 c	18	19	20 a,b,f	20 c,d,e	21	BACTI	COIL	
12. PERMISSION TO PUBLISH																											
Permission is hereby granted to release and publish the above-stated certification for use by Regulatory/Rating Agencies and prospective purchasers.																											
It is understood and agreed by the undersigned that the official Rating Agency or SSC, as applicable, may review and appraise the single-service fabricating plant at any time during the period of time the above certification is in effect. It is further understood that failure to maintain the above certification will subject this plant to withdrawal from the IMS Listing. We will notify the Rating Agency or SSC, as applicable, of any significant changes made in the operation of this plant.																											
12.a. NAME OF PLANT																											
12.b. OFFICER AUTHORIZING RELEASE						12.c. TITLE																					
13. SUBMISSION OF REPORT BY MILK SANITATION RATING AGENCY OR SSC, AS APPLICABLE																											
13.a. DATE OF REPORT		13.b. RECOMMENDED CLASSIFICATION ACCEPTED			13.c. SUBMITTED BY (Signature and Title)																						
		<input type="checkbox"/> YES <input type="checkbox"/> NO																									
FOR FDA USE ONLY																											
14. DATE RECEIVED		15. PUBLICATION OF RATING RECOMMENDED <input type="checkbox"/> YES <input type="checkbox"/> NO (<i>If "NO", indicate why.</i>)																									
16. DATE TRANSMITTED		17. SIGNATURE (FDA Milk Specialist)																									

J. EXAMPLES OF HOW TO PROPERLY COMPLETE RATING, NCIMS HACCP LISTING, ASEPTIC PROCESSING AND PACKAGING PROGRAM, AND RETORT PROCESSED AFTER PACKAGING PROGRAM listing FORMS AND SINGLE-SERVICE CONTAINERS AND/OR CLOSURES FOR MILK AND/OR MILK PRODUCTS MANUFACTURERS CERTIFICATION/LISTING FORMS

The following pages provide examples of Forms that have been completed to demonstrate how observations should be recorded and how the Forms should be completed. These include:

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MILK SANITATION RATING REPORT

SECTION A. REPORT OF THE MILK SANITATION RATING

Of A Brown Dairy

As of June 14, 2016

REGULATORY AGENCY State Department of Health			MILK SANITARIAN M.I. Good		ORDINANCE IN EFFECT Edition 2015 Date Adopted April 1, 2015	
<i>(Shippers Name and Address)</i>			<i>(Date)</i>			
RATED BY (Name)	(Title)	(Agency)	DATE CERTIFIED BY PHS/FDA	RATING BASED ON	APPROVED LABORATORY (Name or #)	
M.Milkramer	SRO	State HD	June 17, 2015	2015 Edition of the Pasteurized Milk Ordinance	#63540 Date July 20, 2015	

SUMMARY OF RATING RESULTS

Number of Dairy Farms	314	Sanitation Compliance Rating of Raw Milk for Pasteurization	91
Number of Dairy Farms Inspected	40		
Number of <u>Milk Plants</u> , Receiving Stations or Transfer Stations	1	Sanitation Compliance Rating of <u>Milk Plant</u> , Receiving Station or Transfer Station	94
Number of <u>Milk Plants</u> , Receiving Stations or Transfer Stations Inspected	1		
Total Pounds of Pasteurized Milk Produced Daily	1,628,000	Enforcement Rating	92

The Sanitation Compliance Rating of the raw milk for pasteurization and the milk plant and the Enforcement Rating are approximately the same as reported for the previous rating. Although these scores meet the minimum requirements for participation in the IMS program, the observations made during this rating indicate the need to improve some areas of the milk sanitation program. These include:

1. Attention should be directed to the Items of sanitation, which were found in violation at twenty-five percent (25%) or more of the dairy farms (Item #'s 3,6,12 and 16).
2. In the milk plant, particular attention should be directed to the HTST pasteurization deficiencies (Item 16p(B) 2).
3. The Regulatory Agency should adhere more closely to the minimum required frequency for inspecting milk tank trucks.
4. Written notices of intent to suspend the permit should be issued when there are repeat violations.

NOTE: Two (2) new farm bulk milk storage tanks, manufactured after January 1, 2000, that were recently installed were not equipped with acceptable recording devices.

Recommendations of the Rating Officer

MILK SANITATION RATING REPORT

SECTION B. REPORT OF ENFORCEMENT METHODS

(Example: Milk Plant Only)

SHIPPER Clear Milk Dairy

DATE OF RATING June 12-13, 2016

ENFORCEMENT RATING

84

DAIRY FARMS PART I							MILK PLANT PART II							INDIVIDUAL SHIPPER RATING PART III									
Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit
1	3	All dairy farmers hold a valid permit				5		1	3	All milk plant, receiving station and transfer station operators hold valid permits				5	5	1		Enter Total Credit from Part I under Percent Complying				47	N/A
2	5	All dairy farms inspected once every six (6) months or as required in Appendix "P"				15		2	5	Milk plant and receiving station(s) inspected once every three (3) months; aseptic and retort milk plant and transfer station(s) once every six (6) months	8	8	100		15	2		Enter Total Credit from Part II under Percent Complying			84.5	47/94	79.43
3	5	Inspection sheet posted or available				5		3	5	Inspection sheet posted or available				5	5	3	4	All milk and milk products properly labeled	5	4	80	6	4.8
4	7	Requirements interpreted in accordance with PHS/FDA PMO as indicated by past inspections				10		4	7	Requirements interpreted in accordance with PHS/FDA PMO as indicated by past inspections	1	8	80		10	TOTAL CREDIT, PART III						84.23	
5	8	T B & Brucellosis certification on file as required				10		5	7 App I	Pasteurization equipment tested at required frequency (Not required for aseptic and retort milk plants.)	8	6	75		11.25	INDIVIDUAL SHIPPER ENFORCEMENT RATINGS							
6	7	Water samples tested and reports on file as required				5		6	7	Individual and cooling water samples tested and reports on file as required	8	6	75		3.75	Individual Shipper of Raw Milk for Pasteurization, Ultra-Pasteurization, Aseptic Processing and Packaging or Retort Processed after Packaging:							
7	5	Milking time inspection program established				5		7	6	Samples of each milk plant's milk and milk products collected at required frequency and all necessary laboratory examinations made	5	4	80		8	<ul style="list-style-type: none"> Without Milk Plant, Receiving Station or Transfer Station: <ul style="list-style-type: none"> Evaluate all Items Part I and record. With Receiving Station(s) or Transfer Station(s): <ul style="list-style-type: none"> Evaluate all Items Part I. Evaluate all Items Part II., except Numbers 5 and 7. Divide by 75. Evaluate all Items Part III. 							
8	6	At least four (4) samples collected from each dairy farm's milk supply every six (6) months and all necessary laboratory examinations made				10		8	6 App B	Sampling procedures approved by PHS/FDA evaluation methods	1	90	90		9.0	Individual Shipper of Pasteurized Milk and Milk Products:							
9	6 App B	Sampling procedures approved by PHS/FDA evaluation methods				10		9	3,5,6,16	Permit issuance, suspension, revocation, reinstatement, hearings, and/or court actions taken as required	1	80	80		12	<ul style="list-style-type: none"> Aseptic and Retort Milk Plants: <ul style="list-style-type: none"> Evaluate all Items Part II., except Number 5. Divide by 85. With Attached Raw Supply: <ul style="list-style-type: none"> Evaluate all Items Part I. Evaluate all Items Part II., use 47 Weight. Evaluate all Items Part III. With Unattached Raw Supplies: <ul style="list-style-type: none"> Evaluate all Items Part II., use 94 Weight. Evaluate all Items Part III., except Number 1. 							
10	3,5,6,16	Permit issuance, suspension, revocation, reinstatement, hearings, and/or court actions taken as required				15		10		Records systematically maintained and current	1	75	75		7.5	REMARKS							
11		Records systematically maintained and current				10		TOTAL CREDIT, Part II							84.50	REMARKS							
TOTAL CREDIT, Part I							REMARKS																
REMARKS																							
4. Violation of Item 16b(2)(d) (15 pts) existed but was not marked on the last inspection. On a previous inspection Item 15a(a) was marked, but under remarks it described a packaging violation. This should have been correctly marked under Item 18(b) (5 pts). 5. Two of 8 tests (6/21/2015 and 3/2/2016) were not completed properly.							6. Two (2) water samples were missing (1/2015 and 7/2015). 7. No annual vitamin assay for fat free milk for FY 2015. 8. Refer to Section C. Evaluation of Sampling Procedures Page 62. 9. Refer to Section E. Milk Plant Enforcement Action and Records Evaluations on Page 63. 10. Refer to Section E. Milk Plant Enforcement Action and							Records Evaluations on Page 63.									
														Part III REMARKS									
														3. "Grade A" only in yogurt ingredients statement.									

MILK SANITATION RATING REPORT

SECTION C. EVALUATION OF SAMPLING PROCEDURES

(Example: Milk Plant Only)

SHIPPER Clear Milk Dairy
LOCATION One Milk Road Cowtown, ST 00000
BTU/PLANT NUMBER 72-125
INSPECTING AGENCY State Dept. of Health
DATE(S) June 12-13, 2016

The calculations below address items from Section B. REPORT OF ENFORCEMENT METHODS on PAGE 2 of this Form.

For the Calculation of DAIRY FARM SAMPLING PROCEDURES (Refer to PART I, ITEM 9 on PAGE 2 of this Form)							For the Calculation of MILK PLANT SAMPLING PROCEDURES (Refer to PART II, ITEM 8 on PAGE 2 of this Form)						
Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit
1	Sampling surveillance officers properly certified				5		1	Sampling surveillance officers properly certified	2	2	100	5	5
2	Adequate training program provided				5		2	Adequate training program provided	1	1	100	5	5
3	Sampling surveillance authority properly delegated				10		3	Sampling surveillance authority properly delegated	2	2	100	10	10
4	All samplers hold a valid permit				10		4	All samplers hold a valid permit	N/A	N/A	N/A		N/A
5	Samplers evaluated every two (2) years and reports properly filed				30		5	Samplers evaluated every two (2) years and reports properly filed	8	6	75	30	22.50
6	Sampling procedures in substantial compliance				15		6	Sampling procedures in substantial compliance	6	6	100	15	15
7	Permit suspension, etc., taken as required				15		7	Permit suspension, etc., taken as required	N/A	N/A	N/A		N/A
8	Records systematically maintained and current				10		8	Records systematically maintained and current	10	10	100	10	10
					100							75	
TOTAL CREDIT ➔							TOTAL CREDIT ➔						67.50
REMARKS													
<p>Calculation of the Score for the Milk Plant:</p> <p style="text-align: center;">67.50/75 X 100 = 90.00 = 90</p>							<p>NOTE: Items 4 and 7 above are not applicable when calculating Milk Plant Sampling Procedures (Part II, Item 8 from Section B, "Report of Enforcement Methods" on PAGE 2 of this Form).</p> <p>Calculation of the Score: Divide the TOTAL CREDIT by seventy-five (75)* for milk plants, receiving stations (RS) and transfer stations (TR). * Then multiply by 100 to create a percentage.</p> <p style="text-align: right;">FINAL TOTAL CREDIT (Milk Plant, RS or TR) ➔ 90</p>						
REMARKS													
<p>5-One (1) of two (2) State Regulatory Officials, who collects samples at this plant, and one (1) of six (6) milk plant receiving personnel, who samples incoming tankers, have not been evaluated in the last two (2) years.</p> <p>8-Add the Number Inspected under #s 3 and 5 to arrive at a total for the Number Inspected to enter in #8 (10).</p>													

MILK SANITATION RATING REPORT

SECTION E. MILK PLANT ENFORCEMENT ACTION AND RECORDS EVALUATIONS

(Example: Milk Plant Only)

SHIPPER Clear Milk Dairy
LOCATION One Milk Road Cowtown, ST 00000
PLANT NUMBER 72-125
INSPECTING AGENCY State Dept. of Health
DATE(S) June 12-13, 2016

The calculations below address Items from Section B. REPORT OF ENFORCEMENT METHODS on PAGE 2 of this Form.															
For the Calculation of MILK PLANT ENFORCEMENT PROCEDURES (Refer to PART II, ITEM 9 on PAGE 2 of this Form)							For the Calculation of MILK PLANT RECORDS (Refer to PART II, ITEM 10 on PAGE 2 of this Form)								
Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit		
1	Category I-Permit Issuance	1	1	100	20	20	1	Category I-Permit Records	1	1	100	25	25		
2	Category II-Permit Suspension	1	0	0	20	0	2	Category II-Inspection Records	1	0	0	25	0		
3	Category III-Permit Revocation	1	1	100	20	20	3	Category III-Laboratory Records	1	1	100	25	25		
4	Category IV-Permit Reinstatement	1	1	100	20	20	4	Category IV-Plan Review File (Within Rating Period)	1	1	100	25	25		
5	Category V-Hearing/Court Action	1	1	100	20	20									
											100		100		
TOTAL CREDIT ➔						80	TOTAL CREDIT ➔						75		
TOTAL CREDIT to be entered into PART II, Item 9 "Percent Complying" column of FORM FDA 2359j, Section B, Page 2.								TOTAL CREDIT to be entered into PART II, Item 10 "Percent Complying" column of FORM FDA 2359j, Section B, Page 2.							
REMARKS															
2. Permit was not suspended on 3 of 5 samples (3/15/2015). (Category II-Permit Suspension)							REMARKS					2. Last inspection report (5/13/2016) was missing from the regulatory files; however, it was available and reviewed at the milk plant. (Category II-Inspection Records)			

MILK SANITATION RATING REPORT

SECTION B. REPORT OF ENFORCEMENT METHODS

(Example: Multiple Farm BTU and Receiving Station)

SHIPPER Clear Milk Coop (BTU)-RS

DATE OF RATING June 14 - 16, 2016

ENFORCEMENT RATING **91**

DAIRY FARMS PART I							MILK PLANT PART II							INDIVIDUAL SHIPPER RATING PART III									
Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit
1	3	All dairy farmers hold a valid permit	25	25	100	5	5	1	3	All milk plant, receiving station and transfer station operators hold a valid permits				5	5	1		Enter Total Credit from Part I under Percent Complying			90.41	47	42.49
2	5	All dairy farms inspected once every six (6) months or as required in Appendix "P"	25	20	80	15	12	2	5	Milk plant and receiving station(s) inspected once every three (3) months; aseptic and retort milk plant and transfer station(s) once every six (6) months	8	6	75	15	11.25	2		Enter Total Credit from Part II under Percent Complying			90.67	47/94	42.61
3	5	Inspection sheet posted or available	25	25	100	5	5	3	5	Inspection sheet posted or available				5	5	3	4	All milk and milk products properly labeled	1	1	100	6	6
4	7	Requirements interpreted in accordance with PHS/FDA PMO as indicated by past inspections	25	20	80	10	8	4	7	Requirements interpreted in accordance with PHS/FDA PMO as indicated by past inspections	1	9	90	10	9	TOTAL CREDIT, PART III						91.1	
5	8	T B & Brucellosis certification on file as required				10	10	5	7 App I	Pasteurization equipment tested at required frequency (Not required for aseptic and retort milk plants.)	NA	NA	NA	15	NA	INDIVIDUAL SHIPPER ENFORCEMENT RATINGS							
7	7	Water samples tested and reports on file as required	25	25	100	5	5	6	7	Individual and cooling water samples tested and reports on file as required	8	6	75	5	3.75	Individual Shipper of Raw Milk for Pasteurization, Ultra-Pasteurization, Aseptic Processing and Packaging or Retort Processed after Packaging:							
7	5	Milking time inspection program established				5	5	7	6	Samples of each milk plant's milk and milk products collected at required frequency and all necessary laboratory examinations made	NA	NA	NA	10	NA	Individual Shipper of Pasteurized Milk and Milk Products:							
8	6	At least four (4) samples collected from each dairy farm's milk supply every six (6) months and all necessary laboratory examinations made	25	20	80	10	8	8	6 App B	Sampling procedures approved by PHS/FDA evaluation methods	1	90	90	10	9.0	Individual Shipper of Raw Milk for Pasteurization, Ultra-Pasteurization, Aseptic Processing and Packaging or Retort Processed after Packaging:							
9	6 App B	Sampling procedures approved by PHS/FDA evaluation methods	1	791	79.1	10	7.91	9	3.5, 6.16	Permit issuance, suspension, revocation, reinstatement, hearings, and/or court actions taken as required	1	1	100	15	15	Individual Shipper of Pasteurized Milk and Milk Products:							
10	3.5, 6.16	Permit issuance, suspension, revocation, reinstatement, hearings, and/or court actions taken as required	1	98	98	15	14.7	10		Records systematically maintained and current	1	1	100	10	10	Individual Shipper of Raw Milk for Pasteurization, Ultra-Pasteurization, Aseptic Processing and Packaging or Retort Processed after Packaging:							
11		Records systematically maintained and current	1	98	98	10	9.8	TOTAL CREDIT, Part II							68.0	Remarks							
TOTAL CREDIT, Part I							90.41	(68.0/ 75 X 100 = 90.67)							Part II Remarks								
Remarks							<p>2. Minimum inspection interval was not met on five (5) dairy farms. (Dairy Farms #3, 7, 9, 11 and 18)</p> <p>4. Significant violations existing during the last inspection that were not marked at five (5) dairy farms on their previous inspection sheet. (Dairy Farms #1-Item 8a; #6-Items 2a & 2b; #10-Item 9d; #14-Item 7a; and #20-Item 16a)</p> <p>8. Insufficient number of samples collected from five (5) dairy farms. (Dairy Farms #2, 8, 12, 15 and 19)</p> <p>9. Refer to Section C. Evaluation of Sampling Procedures on Page 65.</p> <p>10. Refer to Section D. Dairy Farm Enforcement Action and Records Evaluations on Page 66.</p> <p>11. Refer to Section D. Dairy Farm Enforcement Action and Records Evaluations on Page 66.</p> <p>2. Two inspection frequencies missed.(9/2015 and 2/2016)</p> <p>4. Violations of 15b(c) (5 pts) and 17d (5 pts) existed but were not marked on the last inspection.</p> <p>6. Recirculated cooling water sampling frequency was missed twice (5/2015 and 1/2016).</p> <p>8. Refer to Section C. Evaluation of Sampling Procedures on Page 65.</p> <p>9. and 10. Refer to Section E. Milk Plant Enforcement and Records Evaluations on Page 67.</p>																

MILK SANITATION RATING REPORT

SECTION C. EVALUATION OF SAMPLING PROCEDURES

(Example: Multiple Farm BTU and Receiving Station)

SHIPPER Clear Milk Coop (BTU)-RS
LOCATION Two Milk Road Cowtown, ST 00001
BTU/PLANT NUMBER 72-122/72-152
INSPECTING AGENCY State Dept. of Health
DATE(S) June 14-16, 2016

The calculations below address Items from Section B. REPORT OF ENFORCEMENT METHODS on PAGE 2 of this Form.

For the Calculation of DAIRY FARM SAMPLING PROCEDURES (Refer to PART I, ITEM 9 on PAGE 2 of this Form)							For the Calculation of MILK PLANT SAMPLING PROCEDURES (Refer to PART II, ITEM 8 on PAGE 2 of this Form)						
Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit
1	Sampling surveillance officers properly certified	2	2	100	5	5	1	Sampling surveillance officers properly certified	2	2	100	5	5
2	Adequate training program provided	1	1	100	5	5	2	Adequate training program provided	1	1	100	5	5
3	Sampling surveillance authority properly delegated	2	2	100	10	10	3	Sampling surveillance authority properly delegated	2	2	100	10	10
4	All samplers hold a valid permit	12	8	66.7	10	6.67	4	All samplers hold a valid permit	N/A	N/A	N/A		N/A
5	Samplers evaluated every two (2) years and reports properly filed	12	6	50	30	15	5	Samplers evaluated every two (2) years and reports properly filed	4	3	75	30	22.5
6	Sampling procedures in substantial compliance	6	5	83	15	12.45	6	Sampling procedures in substantial compliance	3	3	100	15	15
7	Permit suspension, etc., taken as required	12	12	100	15	15	7	Permit suspension, etc., taken as required	N/A	N/A	N/A		N/A
8	Records systematically maintained and current	14	14	100	10	10	8	Records systematically maintained and current	6	6	100	10	10
						100							75
TOTAL CREDIT						➔ 79.12	TOTAL CREDIT						➔ 67.50
REMARKS													
<p>4 - Eleven (11) bulk milk hauler/samplers were identified from weight tickets found at the dairy farms from the previous thirty (30) days, plus one (1) field person who takes somatic cell count reinstatement samples. Three (3) "weekend" haulers and the field person were not permitted.</p> <p>5 - In addition to the four (4) individuals identified in #4, two (2) permitted bulk milk hauler/samplers were not evaluated in the last two (2) years.</p> <p>6 - One (1) of the samplers that had been evaluated was observed committing the following violations: Failing to sanitize the thermometer that was used to check the temperature of the milk; sampling the milk before the required agitation time had elapsed, filling the sample container over the open tank, and not taking a temperature control sample at the first stop.</p> <p>8 - Add the Number of Inspected under #'s 3 and 5 to arrive at the total for the Number Inspected to enter into #8 (14).</p>							<p>NOTE: Items 4 and 7 above are not applicable when calculating Milk Plant Sampling Procedures (Part II, Item 8 from Section B, "Report of Enforcement Methods" on PAGE 2 of this Form).</p> <p>Calculation of the Score: Divide the TOTAL CREDIT by seventy-five (75)* for milk plants, receiving stations (RS) and transfer stations (TR). * Then multiply by 100 to create a percentage.</p> <p>FINAL TOTAL CREDIT (Milk Plant, RS or TR) ➔ 90</p>						
REMARKS													
MILK PLANT													
<p>5-One (1) evening/weekend receiver had not been evaluated in the last two (2) years.</p> <p>8-Add the Number Inspected under #'s 3 and 5 to arrive at a total for the Number Inspected to enter in #8 (6).</p>													

**SECTION D. DAIRY FARM ENFORCEMENT ACTION
 AND RECORDS EVALUATIONS**

MILK SANITATION RATING REPORT

(Example: Multiple Farm BTU)

SHIPPER Clear Milk Coop (BTU)-RS
LOCATION Two Milk Road Cowstown, ST 00001
BTU NUMBER 72-122
INSPECTING AGENCY State Dept. of Health
DATE(S) June 14-16, 2016

The calculations below address items from Section B. REPORT OF ENFORCEMENT METHODS on PAGE 2 of this Form.															
For the Calculation of DAIRY FARM ENFORCEMENT PROCEDURES (Refer to PART I, ITEM 10 on PAGE 2 of this Form)							For the Calculation of DAIRY FARM RECORDS (Refer to PART I, ITEM 11 on PAGE 2 of this Form)								
Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit		
1	Category I-Permit Issuance	25	25	100	20	20	1	Category I-Permit Records	25	25	100	25	25		
2	Category II-Permit Suspension	25	22	88	20	17.6	2	Category II-Inspection Records	25	23	92	25	23		
3	Category III-Permit Revocation	25	25	100	20	20	3	Category III-Laboratory Records	25	25	100	25	25		
4	Category IV-Permit Reinstatement	25	25	100	20	20	4	Category IV-Plan Review File (Within Rating Period)	25	25	100	25	25		
5	Category V-Hearing/Court Action	25	25	100	20	20									
						100	97.6							100	98
TOTAL CREDIT →						98	TOTAL CREDIT →						98		
TOTAL CREDIT to be entered into PART I, Item 10 "Percent Complying" column of FORM FDA 2359j, Section B, Page 2.							TOTAL CREDIT to be entered into PART I, Item 11 "Percent Complying" column of FORM FDA 2359j, Section B, Page 2.								
REMARKS							REMARKS								
2. Regulatory action not properly taken on three (3) dairy farms. (Dairy Farms #4-Item 6-3X; #15-Item 2a-4X; and #17-Item 8a-3X). (Category II-Permit Suspension)							2. Inspection results were not up to date for two (2) dairy farms on their individual ledgers. (Dairy Farms #5 and #16) (Category II-Inspection Records)								

MILK SANITATION RATING REPORT

**SECTION E. MILK PLANT ENFORCEMENT ACTION
 AND RECORD EVALUATIONS**

(Example: Receiving Station)

SHIPPER Clear Milk Coop (BTU)-RS
LOCATION Two Milk Road Cowntown, ST 00000
PLANT NUMBER 72-122
INSPECTING AGENCY State Dept. of Health
ATE(S) June 14-16, 2016

The calculations below address Items from Section B. REPORT OF ENFORCEMENT METHODS on PAGE 2 of this Form.													
For the Calculation of MILK PLANT ENFORCEMENT PROCEDURES (Refer to PART II, ITEM 9 on PAGE 2 of this Form)							For the Calculation of MILK PLANT RECORDS (Refer to PART II, ITEM 10 on PAGE 2 of this Form)						
Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit
1	Category I-Permit Issuance	1	1	100	20	20	1	Category I-Permit Records	1	1	100	25	25
2	Category II-Permit Suspension	1	1	100	20	20	2	Category II-Inspection Records	1	1	100	25	25
3	Category III-Permit Revocation	1	1	100	20	20	3	Category III-Laboratory Records	1	1	100	25	25
4	Category IV-Permit Reinstatement	1	1	100	20	20	4	Category IV-Plan Review File (Within Rating Period)	1	1	100	25	25
5	Category V-Hearing/Court Action	1	1	100	20	20							
					100							100	
TOTAL CREDIT ➔						100	TOTAL CREDIT ➔						100
TOTAL CREDIT to be entered into PART II, Item 9 "Percent Complying" column of FORM FDA 2359j, Section B, Page 2.							TOTAL CREDIT to be entered into PART II, Item 10 "Percent Complying" column of FORM FDA 2359j, Section B, Page 2.						
REMARKS													
No Debits Observed							REMARKS						
							No Debits Observed						

MILK SANITATION RATING REPORT

SECTION B. REPORT OF ENFORCEMENT METHODS

(Example: Single Farm BTU)

SHIPPER United Dairy (BTU)
 DATE OF RATING June 16, 2016

ENFORCEMENT RATING 76

DAIRY FARMS PART I						MILK PLANT PART II						INDIVIDUAL SHIPPER RATING PART III											
Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit
1	3	All dairy farmers hold a valid permit	1	1	100	5	5	1	3	All milk plant, receiving station and transfer station operators hold a valid permit				5		1		Enter Total Credit from Part I under Percent Complying			47		
2	5	All dairy farms inspected once every six (6) months or as required in Appendix "P"	4	3	75	11.25	11.25	2	5	Milk plant and receiving station(s) inspected once every three (3) months; aseptic and retort milk plants and transfer station(s) once every six (6) months				15		2		Enter Total Credit from Part II under Percent Complying			47	94	
3	5	Inspection sheet posted or available	1	1	100	5	5	3	5	Inspection sheet posted or available				5		3	4	All milk and milk products properly labeled.			6		
4	7	Requirements interpreted in accordance with PHS/FDA PMO as indicated by past inspections	1	91	91	9.1	9.1	4	7	Requirements interpreted in accordance with PHS/FDA PMO as indicated by past inspections				10		TOTAL CREDIT, PART III							
5	8	T B & Brucellosis certification on file as required					10	5	7	Pasteurization equipment tested at required frequency (Not required for aseptic and retort milk plants.)				15		INDIVIDUAL SHIPPER ENFORCEMENT RATINGS							
6	7	Water samples tested and reports on file as required	5	4	80	4	4	6	7	Individual and cooling water samples tested and reports on file as required				5		Individual Shipper of Raw Milk for Pasteurization, Ultra-Pasteurization, Aseptic Processing and Packaging or Retort Processed after Packaging:							
7	5	Milking time inspection program established					5	7	6	Samples of each milk plant's milk and milk products collected at required frequency and all necessary laboratory examination made				10		Without Milk Plant, Receiving Station or Transfer Station:							
8	6	At least four (4) samples collected from each dairy farm's milk supply every six (6) months and all necessary laboratory examinations made	1	0	0	0	0	8	6	Sampling procedures approved by PHS/FDA evaluation methods				10		With Receiving Station(s) or Transfer Station(s):							
9	6	Sampling procedures approved by PHS/FDA evaluation methods	1	1	100	10	10	9	3,5, 6,16	Permit issuance, suspension, revocation, reinstatement, hearings, and/or court actions taken as required				15		Evaluate all Items Part I and record.							
10	3,5, 6,16	Permit issuance, suspension, revocation, reinstatement, hearings, and/or court actions taken as required	1	60	60	9	9	10		Records systematically maintained and current				10		With Attached Raw Supply:							
11		Records systematically maintained and current	1	75	75	7.5	7.5	Evaluate all Items Part II., except Numbers 5 and 7. Divide by 75.															
TOTAL CREDIT, Part I						REMARKS						REMARKS											
REMARKS						REMARKS						REMARKS											
75.85						6. Recirculated cooling water sampling frequency was missed once in the two year period. (6/2015)						9. Refer to Section C. Evaluation of Sampling Procedures.											
2. One inspection frequency missed. (4/2016)						{Farm-1 recirculated cooling (RC) water system and 1 water well (WW) system (4RC + 1WW = 5 Total Samples)}						10. Refer to Section D. Dairy Farm Enforcement Action and Records Evaluations on Page 69.											
4. Violations: 2a (1 pt), 14 (3 pts) and 8c (5 pts) existing but were not marked on the last inspection.						3. Insufficient number of samples were collected and analyzed. (July-December 2015)						11. Refer to Section D. Dairy Farm Enforcement Action and Records Evaluations on Page 69.											

**SECTION D. DAIRY FARM ENFORCEMENT ACTION
AND RECORDS EVALUATIONS**

MILK SANITATION RATING REPORT

(Example: Single Farm BTU)

SHIPPER United Dairy (BTU)
LOCATION 100 Dairy Lane Bossy, ST 00009
BTU NUMBER 90-100
INSPECTING AGENCY State Dept. of Health
DATE(S) June 16, 2016

The calculations below address items from Section B. REPORT OF ENFORCEMENT METHODS on PAGE 2 of this Form.															
For the Calculation of DAIRY FARM ENFORCEMENT PROCEDURES (Refer to PART I, ITEM 10 on PAGE 2 of this Form)							For the Calculation of DAIRY FARM RECORDS (Refer to PART I, ITEM 11 on PAGE 2 of this Form)								
Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit		
1	Category I-Permit Issuance	1	0	0	20	0	1	Category I-Permit Records	1	1	100	25	25		
2	Category II-Permit Suspension	1	0	0	20	0	2	Category II-Inspection Records	1	1	100	25	25		
3	Category III-Permit Revocation	1	1	100	20	20	3	Category III-Laboratory Records	1	0	0	25	0		
4	Category IV-Permit Reinstatement	1	1	100	20	20	4	Category IV-Plan Review File (Within Rating Period)	1	1	100	25	25		
5	Category V-Hearing/Court Action	1	1	100	20	20									
						100	60							100	75
TOTAL CREDIT →						60	TOTAL CREDIT →						75		
TOTAL CREDIT to be entered into PART I, Item 10 "Percent Complying" column of FORM FDA 2359j, Section B, Page 2.								TOTAL CREDIT to be entered into PART I, Item 11 "Percent Complying" column of FORM FDA 2359j, Section B, Page 2.							
REMARKS															
1. Dairy farm was not inspected prior to issuing a permit two (2) years ago. (Category I-Permit Issuance)															
2. A warning letter was not issued on 2 of 4 samples exceeding the standard for SPC (10/31/2015). (Category II-Permit Suspension)															
REMARKS															
							3. Laboratory records for SCC and SPC were not maintained on ledgers. However, the samples were collected/analyzed and verified from the lab reports. (Category III-Laboratory Records)								

MILK SANITATION RATING REPORT

SECTION B. REPORT OF ENFORCEMENT METHODS

(Example: Multiple Farm BTU)

SHIPPER Great Cows BTU

DATE OF RATING August 10-12, 2016

ENFORCEMENT RATING 90

DAIRY FARMS PART I							MILK PLANT PART II							INDIVIDUAL SHIPPER RATING PART III									
Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Ordinance Section	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit
1	3	All dairy farmers hold a valid permit	25	25	100	5	5	1	3	All milk plant, receiving station and transfer station operators hold a valid permit				5		1		Enter Total Credit from Part I under Percent Complying				47	
2	5	All dairy farms inspected once every six (6) months or as required in Appendix "P"	25	20	80	15	12	2	5	Milk plant and receiving station(s) inspected once every three (3) months; aseptic and retort milk plant and transfer station(s) once every six (6) months				15		2		Enter Total Credit from Part II under Percent Complying				47	94
3	6	Inspection sheet posted or available	25	25	100	5	5	3	5	Inspection sheet posted or available				5		3	4	All milk and milk products properly labeled.				6	
4	7	Requirements interpreted in accordance with PHS/FDA PMO as indicated by past inspections	25	19	76	10	7.6	4	7	Requirements interpreted in accordance with PHS/FDA PMO as indicated by past inspections				10		TOTAL CREDIT, PART III							
5	8	T B & Brucellosis certification on file as required				10	10	5	App I	Pasteurization equipment tested at required frequency (Not required for aseptic and retort milk plants.)				15		INDIVIDUAL SHIPPER ENFORCEMENT RATINGS							
6	7	Water samples tested and reports on file as required	25	21	84	5	4.2	6	7	Individual and cooling water samples tested and reports on file as required				5		Individual Shipper of Raw Milk for Pasteurization, Ultra-Pasteurization, Aseptic Processing and Packaging or Retort Processed after Packaging:							
7	5	Milking time inspection program established				5	5	7	6	Samples of each milk plant's milk and milk products collected at required frequency and all necessary laboratory examinations made				10		<ul style="list-style-type: none"> Without Milk Plant, Receiving Station or Transfer Station: <ul style="list-style-type: none"> Evaluate all Items Part I and record. With Receiving Station(s) or Transfer Station(s): <ul style="list-style-type: none"> Evaluate all Items Part I. Evaluate all Items Part II., except Numbers 5 and 7. Divide by 75. Evaluate all Items Part III. 							
8	6	At least four (4) samples collected from each dairy farm's milk supply every six (6) months and all necessary laboratory examinations made	25	23	92	10	9.2	8	6	Sampling procedures approved by PHS/FDA evaluation methods.				10		Individual Shipper of Pasteurized Milk and Milk Products:							
9	6 App B	Sampling procedures approved by PHS/FDA evaluation methods	1	791	79.1	10	7.91	9	3,5, 6,16	Permit issuance, suspension, revocation, reinstatement, hearings, and/or court actions taken as required				15		<ul style="list-style-type: none"> Aseptic and Retort Milk Plants: <ul style="list-style-type: none"> Evaluate all Items Part II., except Number 5. Divide by 85. With Attached Raw Supply: <ul style="list-style-type: none"> Evaluate all Items Part I. Evaluate all Items Part II., use 47 Weight. Evaluate all Items Part III. With Unattached Raw Supplies: <ul style="list-style-type: none"> Evaluate all Items Part II., use 94 Weight. Evaluate all Items Part III., except Number 1. Evaluate all Items Part III., except Number 1. 							
10	3,5, 6,16	Permit issuance, suspension, revocation, reinstatement, hearings, and/or court actions taken as required	1	98	98	15	14.7	10		Records systematically maintained and current				10		REMARKS							
11		Records systematically maintained and current	1	98	98	10	9.8	TOTAL CREDIT, Part II							REMARKS								
TOTAL CREDIT, Part I							REMARKS							REMARKS									
REMARKS							REMARKS							REMARKS									
90.41							19c; #11-Item 8c; #15-Item 9b; and #18-Item 18c)							9. Refer to Section C. Evaluation of Sampling Procedures on Page 65.									
2. Minimum inspection interval not met on four (4) dairy farms. (Dairy Farms #6, 9, 12 and 19)							6. Outdated water samples at four (4) dairy farms. (Dairy Farms #2, 5, 13 and 17)							10. Refer to Section D. Dairy Farm Enforcement Action and Records Evaluations on Page 66.									
4. Violations existing on six (6) dairy farms during the last inspection and were not marked on the last inspection sheets. (Dairy Farms #1-Item 5 floors; #4-Item 7; #10-Item							8. Insufficient samples from two (2) dairy farms. (Dairy Farms #3 and 20)							11. Refer to Section D. Dairy Farm Enforcement Action and Records Evaluations on Page 66.									

SECTION D. DAIRY FARM ENFORCEMENT ACTION AND RECORDS EVALUATIONS

MILK SANITATION RATING REPORT

(Example: Multiple Farm BTU)

SHIPPER United Dairy (BTU)
LOCATION 100 Dairy Lane Bossy, ST 00009
BTU NUMBER 90-100
INSPECTING AGENCY State Dept. of Health
DATE(S) June 16, 2016

The calculations below address Items from Section B. REPORT OF ENFORCEMENT METHODS on PAGE 2 of this Form.

For the Calculation of DAIRY FARM ENFORCEMENT PROCEDURES (Refer to PART I, ITEM 10 on PAGE 2 of this Form)							For the Calculation of DAIRY FARM RECORDS (Refer to PART I, ITEM 11 on PAGE 2 of this Form)								
Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit	Number	Item	Number Inspected	Number Complying	Percent Complying	Weight	Credit		
1	Category I-Permit Issuance	25	25	100	20	20	1	Category I-Permit Records	25	25	100	25	25		
2	Category II-Permit Suspension	25	22	88	20	17.6	2	Category II-Inspection Records	25	25	100	25	25		
3	Category III-Permit Revocation	25	25	100	20	20	3	Category III-Laboratory Records	25	23	92	25	23		
4	Category IV-Permit Reinstatement	25	25	100	20	20	4	Category IV-Plan Review File (Within Rating Period)	25	25	100	25	25		
5	Category V-Hearing/Court Action	25	25	100	20	20									
						100	97.6							100	98
TOTAL CREDIT →						98	TOTAL CREDIT →						98		
TOTAL CREDIT to be entered into PART I, Item 10 "Percent Complying" column of FORM FDA 2359j, Section B, Page 2.							TOTAL CREDIT to be entered into PART I, Item 11 "Percent Complying" column of FORM FDA 2359j, Section B, Page 2.								
REMARKS							REMARKS								
2, Regulatory action not properly taken on three (3) dairy farms. (Dairy Farms #7-Item 3a-4X; #14-Item 16a-3X; and #16-Item 14b-3X) (Category II-Permit Suspension)							3. Drug residue tests not recorded on ledgers for two (2) dairy farms. (Dairy Farms #10 and #22) (Category III-Laboratory Records)								

STATUS OF RAW MILK FOR PASTEURIZATION, ULTRA-PASTEURIZATION, ASEPTIC PROCESSING AND PACKAGING OR RETORT PROCESSED AFTER PACKAGING

SHIPPER Great Cows BTU
 DATE OF RATING August 10-12, 2018

SANITATION COMPLIANCE RATING¹ 91

NAME OF DAIRY FARM	Pounds Sold Daily (100# Units) ³	ITEMS OF SANITATION																												Total Debits ²	Pounds Sold Daily (100# Units) ³ X Total Debits ²	REMARKS				
		Milking Barn Construction										Milkhouse Construction and Facilities						Utensils and Equipment				Milking	Drugs	Personnel	Cooling	Insects and Rodents							Bacterial Count or Drug Residue Analysis ⁴			
		Abnormal Milk Somatic Cell Count*	Floors		Walls and Ceilings	Separate Stalls	Lighting	Ventilation	Cleanliness	Cowyard	Floors	Walls and Ceilings	Lighting and Ventilation	Miscellaneous Requirements	Cleaning Facilities	Cleanliness	Toilet	Water Supply	Construction	Cleaning	Sanitization	Storage	Flanks, Udders and Teats	Protection from Contamination		Drugs, Drug Equipment, Cleaners/Sanitizers, Labeled, Handled and Stored	Labeled for Use, Stored Safely	Hand Washing Facilities	Personnel Cleanliness					ab	c	ab
ITEM	1	2		3	4	5					6	7	8	9	10	11	12	13	14	15		16	17	18		19					10 ⁴					
WEIGHT	5	5 ^a	1	1	1	1	1	3	3	1	1	2	2	2	4	4	2 or 5	4	5	5	2	5	3	2 - (7) - 5	2	1	5	(5)	1	3	2	2	2	2		
1. Roy Harris	17			1													5						2			1								9	153	Major Water Violation
2. James Henley	21																4																4	84		
3. W. T. Miller	5	5						3	3	1										2	5						5	X					10	34	170	Insufficient Milk Samples
4. John Barkley	11																			2	5	2		2									11	121	Only Cold Water to Hand Sink	
5. K. R. Olson	15							3				2					2																7	105	Minor Water Violation	
6. Robert Taylor	10	5																															5	50	2 of 4 SSC W/Last 1 Violative	
7. Pete Carhart	18			1				3	3												5													12	216	Cooling Pond-Dirty Cows
8. Davis & Nelson	33							3	3	1																							7	231	MTI	
9. Al Hart	10							3							4																		7	70		
10. Don Meyers	8														4						5	2											12	96	MTI	
11. Wm. Long	12			1				3									4														2		10	120	3r - Feed Storage	
12. Jon Jones	27				1							2		4									5										12	324	Drugs W/O Directions	
13. John Marshall	16																				5	3	2	5									15	240	Drug Storage and Pig Medicines	
14. R. W. Ripple	12			1																											2	3	36			
15. N. W. Williams	23	5										2					2																9	207	Dirty Abnormal Equipment-Barn	
16. R. A. Wolf	19	5																															6	114	Dirty Abnormal Equipment in Milkhouse	
17. Frank Ecker	11																																7	77		
18. Henry Ronan	13							3																									12	156		
Total or Subtotal	281	2	2	1	3	1	1	1	7	3	-	2	1	2	-	4	-	3	2	1	1	3	5	1	4	2	1	1	1	1	1	1	1	182	2570	
% of Dairy Farms Violating																																				

Continuation of the "STATUS OF RAW MILK FOR PASTEURIZATION, ULTRA-PASTEURIZATION, ASEPTIC PROCESSING AND PACKAGING
OR RETORT PROCESSED AFTER PACKAGING" FOR GREAT COWS BTU AS OF AUGUST 10-12, 2018

	ITEM	1		2					3	4	5					6	7	8	9	10	11	12	13	14	15		16	17	18		19				REMARKS				
		WEIGHT	5	5*	a	b	c	d	e	A	B	C	D	E	2 or 5	4	5	5	2	5	3	2-(7)-5	2	1	5	-(5)	-1	3	2	2	2	10*							
Subtotals from PAGE 1	281	2	2	1	3	1	1	1	3	3	--	2	1	2	--	4	--	3	2	1	1	3	5	1	4	2	1	1	1	1	1	182	2570						
19. Smith & Jones	4			1	1	1	1		3									4				2	5								18	72							
20. H. Adams	42			1																		2		2							5	210	No Veterinarian's Name on Prescription Cattle Drugs						
21. Joe Lamb	9			1	1																	2								10	14	126	2 of 4 SPC, Last 1 Violative						
22. B. Forest	12			1								2															2				5	60							
23. Anna Bowers	11				1				3												5										9	99							
24. L.R. Hayser	4																				5	2									7	28							
25. Pete Carson	15				1												5														6	90	Major Water Violation						
26.																																							
27.																																							
28.																																							
29.																																							
30.																																							
31.																																							
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36.																																							
37.																																							
38.																																							
39.																																							
40.																																							
Total or Subtotal	378	2	2	5	7	2	2	1	9	3	-	2	1	3	--	4	--	4	3	2	2	7	6	1	5	2	1	1	1	1	1	--	1	1	1	2	246	3255	
% of Dairy Farms Violating		8	8	20	28	8	8	4	36	12	0	8	4	12	0	16	0	16	12	8	8	28	24	4	20	8	4	4	4	4	0	4	4	4	4	8			

Footnotes: ¹ Sanitation Compliance Rating = $100 - \frac{\text{Total Pounds Sold Daily (100\# Units)}^3 \times \text{Total Debits}^2}{\text{Total Pounds Sold Daily (100\# Units)}^3} = 100 - \frac{3255}{378} = 100 - 8.6 = 91.4 = \mathbf{91}$

² Total Debits for each dairy farm is the sum of the weights of the Items violated. (**NOTE:** Any Item violated, indicate by placing the debit value (weight) of that Item or an X under that Item).

³ Total Pounds Sold Daily are calculated in 100# Units.

* Use only when not in compliance.

COMMENTS

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14. FORM FDA 2359L-STATUS OF MILK PLANTS (INCLUDING DRYING AND CONDENSING MILK PRODUCTS PLANTS, RECEIVING STATIONS AND TRANSFER STATIONS)

STATUS OF MILK PLANTS

(INCLUDING DRYING AND CONDENSING MILK PRODUCTS PLANTS, RECEIVING STATIONS and TRANSFER STATIONS)

Milk Plant I.M.A. DAIRY
Date of Rating September 20-21, 2016

Sanitation Compliance Rating¹ 90

NAME OF PLANT (MILK PRODUCT/PASTEURIZATION/FILLING AND CAPPING)	Pounds Processed Daily (100# Units) ³	ITEMS OF SANITATION																												Total Debits ²	Pounds Processed Daily (100#Units) ³ X Total Debits ²	REMARKS				
		Floors	Walls and Ceiling	Doors and Windows	Lighting	Ventilation	Separate Rooms	Toilet/Sewage Disposal Facilities	Water Supply	Hand Washing Facilities	Milk Plant Cleanliness	Sanitary Piping	Containers and Equipment				Protection from Contamination	Pasteurization				Bottling Capping		Personnel Cleanliness Protective Clothing	Vehicles	Surroundings	Bacterial Count*	Coliform Count*								
													Construction and Repair	Cleaning	Sanitization	Storage of Clean Equipment		Storage of Single-Service Articles	Indicating and Recording Thermometers	Time and Temperature Controls	Acidulation Controls	Regenerative Heating	Temperature Recording Charts						Cooling				Container Filling	Capping and Sealing		
ITEM	1	2	3	4a	4b	5	6	7	8	9	10	11	12ab	12c-e	13	14	15ac	15b	(1)	(2)	16b	16c	16d	17	18	19	20	21	22	5*	10*					
I.M.A. Dairy	5,000					3											3															6	30,000			
Buttermilk Vat #1 (15)																				15													15	225	Inlet Valve not Removed from Vat During Holding	
C. Cheese Starter Vat (3)																							4										4	12	Air Space Reading NOT Made at BOTH the Beginning and End of the Holding Period	
By Products HTST (360)																				15		10										25	9,000	Plant Operating Computer Can Start the Booster Pump in Divert Mode		
1% Milk (500)																								5								5	10	20	10,000	Insufficient # of Samples Taken in Last 6 Months.
Tub Container (70)																										5						5	350	Hand Capping of 5 lb. Containers		
Sour Cream (5)																															10	10	50	2 of Last 4 Coli Counts High (Last One Positive)		
TOTALS	5,000																															85	49,637			

Footnotes:¹ Sanitation Compliance Rating = $100 - \frac{\text{Total Pounds Processed Daily (100\# Units)}^3 \times \text{Total Debits}^2}{\text{Total Pounds Processed Daily (100\# Units)}^3} = 100 - \frac{49,637}{5,000} = 100 - 9.9 = 90.1 = \mathbf{90}$

² Total Debits for each milk plant, receiving station or transfer station is the sum of the weights of the Items violated. (NOTE: Any Item or sub-item violated, indicate by placing the debit value (weight) of that Item or an X under that Item).

³ Total Pounds Processed Daily are calculated in 100# Units.

* Use only when not in compliance. Prorate by products.

